

# Partial Vandermonde Problems and PASS Encrypt

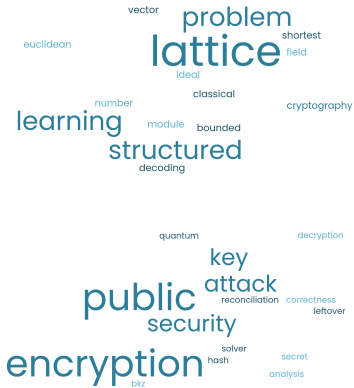
Katharina Boudgoust<sup>1</sup>   Amin Sakzad<sup>2</sup>   Ron Steinfeld<sup>2</sup>

<sup>1</sup>Univ Rennes, CNRS, IRISA

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Lfant Séminaire Bordeaux, 30th November 2021

Provably secure **public-key** cryptography needs **well-defined** assumptions in the form of **mathematical problems**.

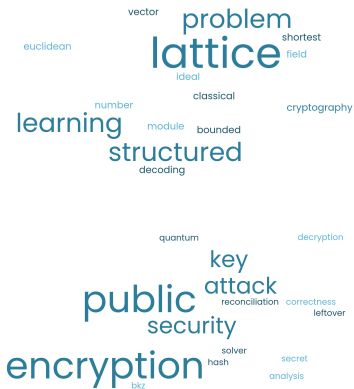




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- Discrete Logarithm
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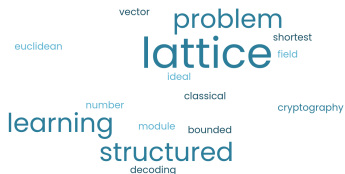
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⚠  $\exists$  poly-time **quantum** algorithm [Sho97].

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- Codes
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- Multivariate Systems
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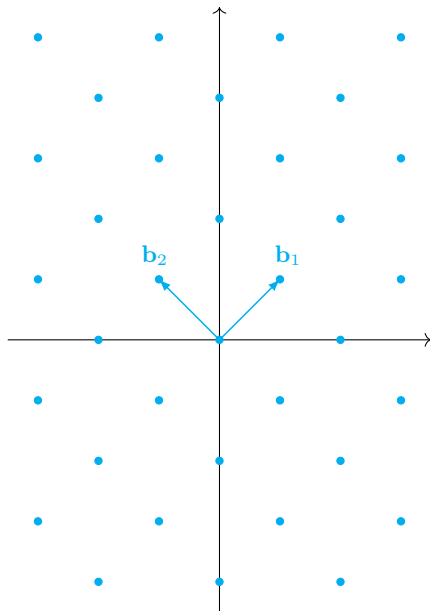
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# Hard Lattice Problems

An **Euclidean lattice**  $\Lambda$  of rank  $n$  with a basis  $\mathbf{B} = (\mathbf{b}_j)_{1 \leq j \leq n}$  is given by

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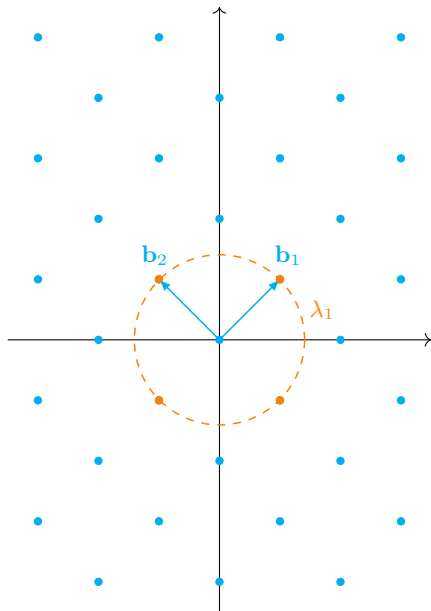
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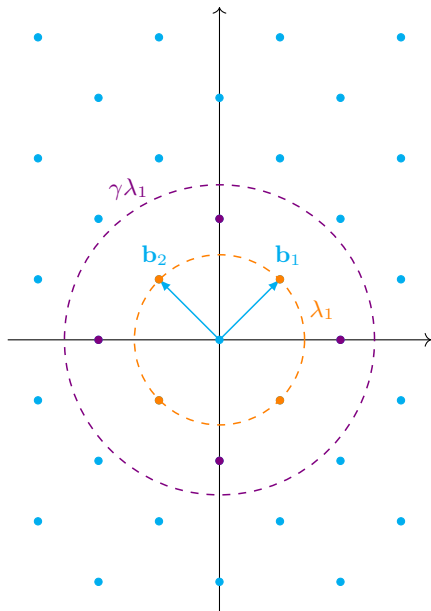
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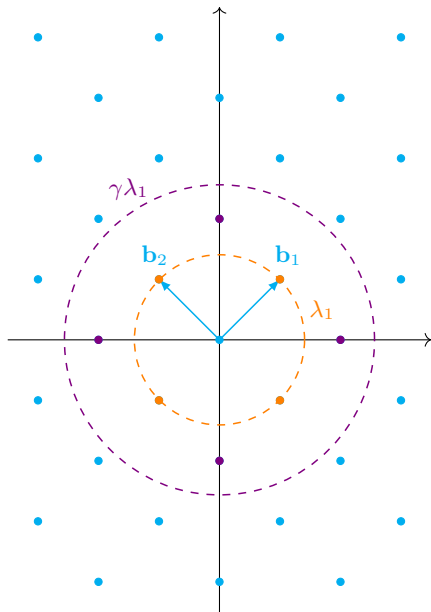
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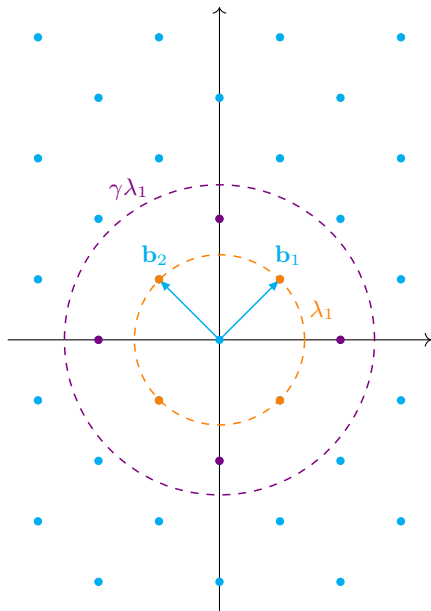
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⚠ Hard to build cryptography on top of  $\text{SVP}_\gamma$ .

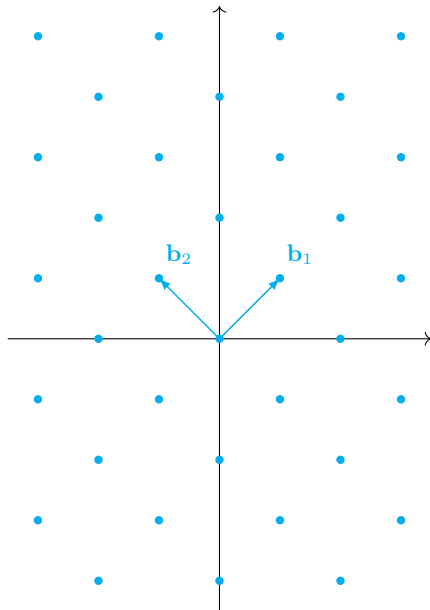


# Lattice-Based Cryptography

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(Main) Mathematical Problems:

- Short Integer Solution [Ajt96]
- NTRU [HPS98]
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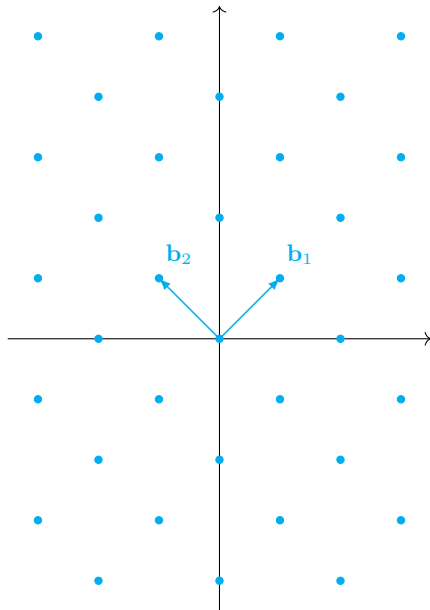
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- Partial Vandermonde Problems [HPS<sup>+</sup>14]

🔍 today



# NIST Competition

Started in 2016: NIST project to define new standards for post-quantum cryptography.

A majority (5 out of 7) of the finalist candidates are based on **lattice problems**.

## Public Key Encryption

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### Observation

*Lattice-based cryptography plays a **key role** in designing post-quantum cryptography.*

# Outline

- 1 Introduction
- 2 Partial Vandermonde Problems
  - Partial Vandermonde Knapsack
  - Partial Vandermonde Learning With Errors
- 3 PASS Encrypt
  - Correctness
  - Security
- 4 Conclusion and Perspectives

# Partial Vandermonde Problems



## Partial Vandermonde Transform [HPS<sup>+</sup>14, LZA18]

Let  $K$  be the  $\nu$ -th cyclotomic number field  $K = \mathbb{Q}[x]/\langle f(x) \rangle$  of degree  $n = \varphi(\nu)$  with  $R = \mathbb{Z}[x]/\langle f(x) \rangle$  its ring of integers and  $f(x)$  its defining polynomial.

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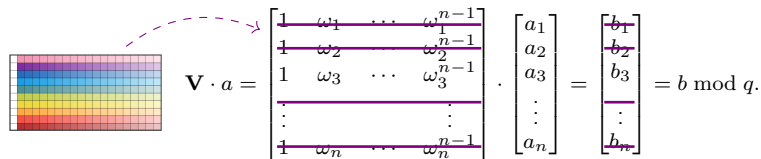
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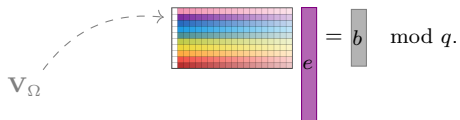
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**Note:** For  $\Omega \subseteq \{\omega_j\}_{j=1, \dots, n}$  write  $\mathbf{V}_\Omega \cdot a = b$ . (**partial Vandermonde transform**)

## Partial Vandermonde Problems

Choose a random subset  $\Omega \subseteq \{\omega_j\}_{j=1,\dots,n}$  of size  $|\Omega| = t$ .

**Partial Vandermonde knapsack problem (PV-Knap):** Sample  $\mathbf{e} \sim \text{DistrE}$  over  $\mathbb{Z}^n$  defining



The diagram illustrates the equation  $V_{\Omega} \mathbf{e} = \mathbf{b} \pmod{q}$ . On the left, the label  $V_{\Omega}$  has a dashed arrow pointing to a grid representing the Vandermonde matrix. The grid has 10 rows and 10 columns, with colors transitioning from purple at the top to red at the bottom. To the right of the grid is a vertical purple bar labeled  $\mathbf{e}$ . An equals sign follows, then a vertical grey bar labeled  $\mathbf{b}$ , and finally the text  $\pmod{q}$ .

Search: find  $\mathbf{e}$

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**Partial Vandermonde Learning With Errors (PV-LWE):** Sample  $\mathbf{s} \sim \text{DistrS}$  over  $\mathbb{Z}^t$  and

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**Conjecture:** Hard to solve if  $\text{DistrE}$  provides elements of small norm.

## Equivalence of PV-Knap and PV-LWE

Let  $t = n/2$  and set  $\mathcal{P}_t = \{\Omega \subseteq \{\omega_j\}_{j=1,\dots,n} : |\Omega| = t\}$ .

**Property 1:**  $\mathbf{V}_\Omega$  defines a ring homomorphism from  $R$  to  $\mathbb{Z}_q^t$ :

$$\mathbf{V}_\Omega(a \cdot b) = (\mathbf{V}_\Omega a) \circ (\mathbf{V}_\Omega b)$$

(component-wise multiplication  $\circ$ )

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**Property 2:**  $\Omega^c = \{\omega_j\}_j \setminus \Omega$  defines the **complement** partial Vandermonde transform  $\mathbf{V}_{\Omega^c}$ .

Given  $\mathbf{V}_\Omega a$  and  $\mathbf{V}_{\Omega^c} a$ , we can recover  $a \bmod q$ .

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**Property 3:** For every  $\Omega \in \mathcal{P}_t$ , there exists a  $\Omega' \in \mathcal{P}_t$  such that

$$\mathbf{V}_{\Omega'} \cdot \mathbf{V}_\Omega^T = \mathbf{0} \in \mathbb{Z}_q^{t \times t}.$$

(parity check matrix,  only for power-of-two cyclotomics)

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## Lemma (Adapted [MM11, Sec. 4.2])

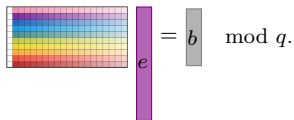
Let  $\psi$  denote a distribution over  $\mathbb{Z}^n \cong R$ . There is an efficient reduction from  $\text{PV-LWE}_\psi$  to  $\text{PV-Knap}_\psi$ , and vice versa.

**Idea:** Given  $(\mathbf{V}_\Omega, b)$ , with  $b = \mathbf{V}_\Omega^T s + e$ . Compute  $\Omega'$  such that  $\mathbf{V}_{\Omega'} \cdot \mathbf{V}_\Omega^T = 0$ . Then,  $b' := \mathbf{V}_{\Omega'} b = \mathbf{V}_{\Omega'} e$  is an instance of PV-Knap.

# Ideal Lattice Behind Partial Vandermonde Knapsack

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Search: find  $e$

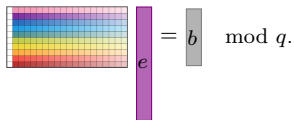
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$$\Lambda_q^\perp(\mathbf{V}_\Omega) = \{a \in R : \mathbf{V}_\Omega a = 0 \pmod{q}\}$$

## Ideal Lattice Behind Partial Vandermonde Knapsack

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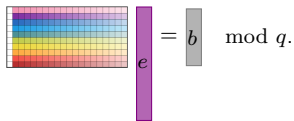
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Idea:

- 1) Solve  $\mathbf{V}_\Omega y = b \pmod{q}$  for the unknown  $y$  in  $R$  (in general not in the support of  $\text{DistrE}$ )
- 2) Find a **closest vector**  $v$  of  $y$  in  $\Lambda_q^\perp(\mathbf{V}_\Omega)$ , i.e.,  $\|y - v\|$  smallest
- 3) The element  $e := y - v$  is a solution to PV-Knap

⚠ Promise variant of the closest vector problem, called **Bounded Distance Decoding (BDD)**



# Hard Lattice Problems

An **Euclidean lattice**  $\Lambda$  of rank  $n$  with a basis  $\mathbf{B} = (\mathbf{b}_j)_{1 \leq j \leq n}$  is given by

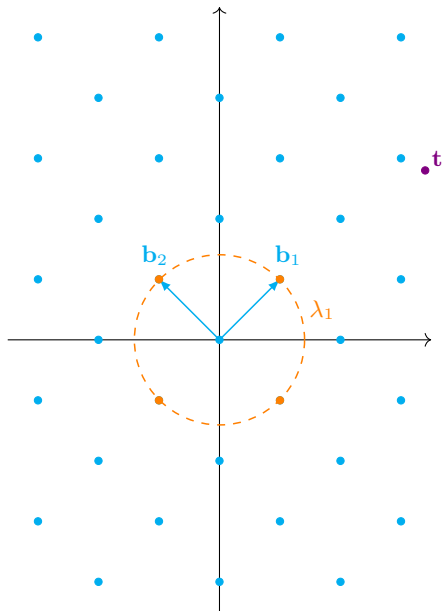
$$\Lambda(\mathbf{B}) = \left\{ \sum_{j=1}^n z_j \mathbf{b}_j : z_j \in \mathbb{Z} \right\}.$$

The **minimum** of  $\Lambda$  is

$$\lambda_1(\Lambda) := \min_{\mathbf{v} \in \Lambda \setminus \{\mathbf{0}\}} \|\mathbf{v}\|.$$

The **shortest vector problem** (SVP) asks to find a vector  $\mathbf{w}$  such that  $\|\mathbf{w}\| = \lambda_1(\Lambda)$ .

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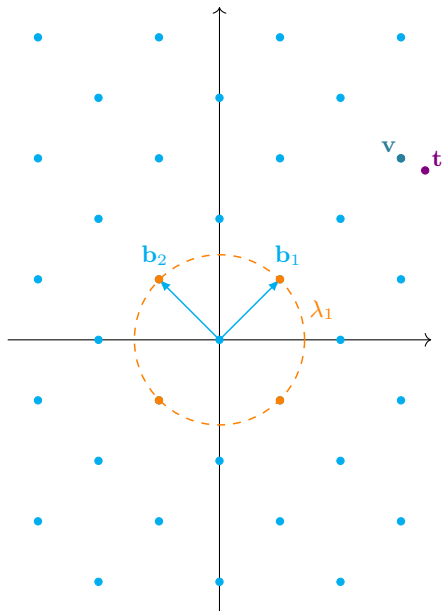
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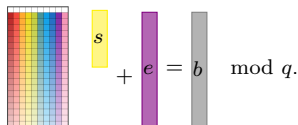
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# Ideal Lattice Behind Partial Vandermonde LWE

**Partial Vandermonde Learning With Errors (PV-LWE):** Sample  $s \sim \text{DistrS}$  over  $\mathbb{Z}^t$  and  $e \sim \text{DistrE}$  over  $\mathbb{Z}^n$  defining


$$s + e = b \pmod{q}.$$

Search: find  $e$  (and secret  $s$ )

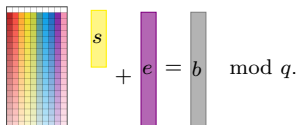
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Recall Property 3: it exists  $\Omega'$  such that  $\mathbf{V}_{\Omega'} \cdot \mathbf{V}_\Omega^T = 0$ . It yields  $\Lambda_q(\mathbf{V}_\Omega) \subseteq I_{\Omega'}$  and  $\mathcal{N}(I_{\Omega'}) = n - t = \mathcal{N}(\Lambda_q(\mathbf{V}_\Omega))$ , thus isomorph.

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# PASS Encrypt

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$$\mathbf{V}^{-1}(e_1 || c') = \mathbf{V}^{-1}(\mathbf{V}(f \cdot r' + s')) = f \cdot pr + ps + m = m \bmod p$$

if  $f, r$  and  $s$  are small enough

} ring homomorphism

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PASS problem is tailored to PASS Encrypt!  
Reduce it from some more general problem?



# Properties of PASS Encrypt

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⚠ For  $\circ$ , need of 1 additional cross-term and the decryption algorithm has to be changed.

## Efficiency:

Scheme	NTRU [HPS98]	P-LWE Regev [LP11]	PASS Encrypt
$\frac{ c + \text{pk} }{ m }$	$2 \log_2 q$	$3 \log_2 q$	$2.5 \log_2 q$

## Concrete Security:

**Known:** key recovery and randomness recovery attacks [HS15, DHSS20]

**New:** plaintext recovery using hints attacks

💡 make use of leaky LWE estimator of Dachman-Soled et al. [DDGR20]

# Conclusion and Perspectives

# Open Questions and Perspectives

## Follow-ups

- Construct encryption scheme based only on PV-LWE / PV-Knap

## Questions ?

- Hardness of partial Vandermonde problems
  - ▶ Cryptanalysis?
  - ▶ Worst-case to average-case reductions as for LWE?
- More cryptographic applications

Thank you.



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